

Today.

Comment: Add 0. Poll. Proof that $3|n^3 - n$.

Add $(k - k)$.

Induction: Some quibbles.

What did you learn in 61A?

Induction and Recursion

Couple of more induction proofs.

Stable Marriage.

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Strengthening: need to...

Theorem: For all $n \geq 1$, $\sum_{i=1}^n \frac{1}{i^2} \leq 2$. ($S_n = \sum_{i=1}^n \frac{1}{i^2}$.)

Base: $P(1)$. $1 \leq 2$.

Ind Step: $\sum_{i=1}^k \frac{1}{i^2} \leq 2$.

$$\begin{aligned} \sum_{i=1}^{k+1} \frac{1}{i^2} &= \sum_{i=1}^k \frac{1}{i^2} + \frac{1}{(k+1)^2} \\ &\leq 2 + \frac{1}{(k+1)^2} \end{aligned}$$

Uh oh?

Hmmm... It better be that any sum is *strictly less than 2*.

How much less? At least by $\frac{1}{(k+1)^2}$ for S_k .

" $S_k \leq 2 - \frac{1}{(k+1)^2}$ " \implies " $S_{k+1} \leq 2$ "

Induction step works! **No! Not the same statement!!!**

Need to prove " $S_{k+1} \leq 2 - \frac{1}{(k+2)^2}$ ".

Darn!!!

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Some quibbles.

The induction principle works on the natural numbers.

Proves statements of form: $\forall n \in \mathbb{N}, P(n)$.

Yes.

What if the statement is only for $n \geq 3$?

$$\forall n \in \mathbb{N}, (n \geq 3) \implies P(n)$$

Restate as:

$$\forall n \in \mathbb{N}, Q(n) \text{ where } Q(n) = "(n \geq 3) \implies P(n)".$$

Base Case: typically start at 3.

Since $\forall n \in \mathbb{N}, Q(n) \implies Q(n+1)$ is trivially true before 3.

Can you do induction over other things? Yes.

Any set where any subset of the set has a smallest element.

In some sense, the natural numbers.

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Strengthening: how?

Theorem: For all $n \geq 1$, $\sum_{i=1}^n \frac{1}{i^2} \leq 2 - f(n)$. ($S_n = \sum_{i=1}^n \frac{1}{i^2}$.)

Proof:

Ind hyp: $P(k) - "S_k \leq 2 - f(k)"$

Prove: $P(k+1) - "S_{k+1} \leq 2 - f(k+1)"$

$$\begin{aligned} S(k+1) &= S_k + \frac{1}{(k+1)^2} \\ &\leq 2 - f(k) + \frac{1}{(k+1)^2} \text{ By ind. hyp.} \end{aligned}$$

$$\begin{aligned} \text{Choose } f(k+1) &\leq f(k) - \frac{1}{(k+1)^2} \\ \implies S(k+1) &\leq 2 - f(k+1). \end{aligned}$$

Can you?

Subtracting off a quadratically decreasing function every time.

Maybe a linearly decreasing function to keep positive?

Try $f(k) = \frac{1}{k}$

$$\frac{1}{k+1} \leq \frac{1}{k} - \frac{1}{(k+1)^2}?$$

$$1 \leq \frac{k+1}{k} - \frac{1}{k+1} \text{ Multiplied by } k+1.$$

$$1 \leq 1 + \left(\frac{1}{k} - \frac{1}{k+1}\right) \text{ Some math. So yes!}$$

Theorem: For all $n \geq 1$, $\sum_{i=1}^n \frac{1}{i^2} \leq 2 - \frac{1}{n}$.

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Strong Induction and Recursion.

Thm: For every natural number $n \geq 12$, $n = 4x + 5y$.

Instead of proof, let's write some code!

```
def find-x-y(n):
    if (n==12) return (3,0)
    elif (n==13): return (2,1)
    elif (n==14): return (1,2)
    elif (n==15): return (0,3)
    else:
        (x',y') = find-x-y(n-4)
        return (x'+1,y')
```

Base cases: $P(12)$, $P(13)$, $P(14)$, $P(15)$. Yes.

Strong Induction step:

Recursive call is correct: $P(n-4) \implies P(n)$.

$$n-4 = 4x' + 5y' \implies n = 4(x'+1) + 5y'$$

Slight differences: showed for all $n \geq 16$ that $\bigwedge_{i=4}^{n-1} P(i) \implies P(n)$.

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Stable Matching Problem

- ▶ n candidates and n jobs.
- ▶ Each job has a ranked preference list of candidates.
- ▶ Each candidate has a ranked preference list of jobs.

How should they be matched?

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Count the ways..

- ▶ Maximize total satisfaction.
- ▶ Maximize number of first choices.
- ▶ Maximize worse off.
- ▶ Minimize difference between preference ranks.

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The best laid plans..

Consider the pairs..

- ▶ (Anthony) Davis and Pelicans
- ▶ (Lonzo) Ball and Lakers

Davis prefers the Lakers.

Lakers prefer Davis.

Uh..oh. Sad Lonzo and Pelicans.

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So..

Produce a pairing where there are no crazy moves!

Definition: A **pairing** is disjoint set of n job-candidate pairs.

Example: A pairing $S = \{(Lakers, Ball); (Pelicans, Davis)\}$.

Definition: A **rogue couple** b, g^* for a pairing S :
 b and g^* prefer each other to their partners in S

Example: Davis and Lakers are a rogue couple in S .

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A stable pairing??

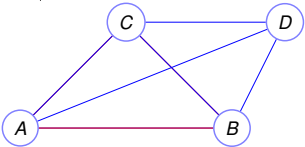
Given a set of preferences.

Is there a stable pairing?

How does one find it?

Consider a single type version: stable roommates.

A	B	C	D
B	C	A	D
C	A	B	D
D	A	B	C



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The Propose and Reject Algorithm.

Each Day:

1. Each job **proposes** to its favorite candidate on its list.
2. Each candidate rejects all but their favorite proposer (whom they put on a **string**.)
3. Rejected job **crosses** rejecting candidate off its list.

Stop when each job gets exactly one proposal.

Does this terminate?

...produce a pairing?

....a stable pairing?

Do jobs or candidates do "better"?

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Example.

	Jobs		Candidates
A	X 2 3		1 C A B
B	X X 3		2 A B C
C	X 1 3		3 A C B

	Day 1	Day 2	Day 3	Day 4	Day 5
1	A, B	A	X , C	C	C
2	C	B, X	B	A, X	A
3					B

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Termination.

Every non-terminated day a job **crossed** an item off the list.
Total size of lists? n jobs, n length list. n^2
Terminates in $\leq n^2$ steps!

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It gets better every day for candidates.

Improvement Lemma: It just gets better for candidates

If on day t a candidate g has a job b on a string, any job, b' , on candidate g 's string for any day $t' > t$ is at least as good as b .

Example: Candidate "Alice" has job "Amalgamated Concrete" on string on day 5.

She has job "Amalgamated Asphalt" on string on day 7.

Does Alice prefer "Amalgamated Asphalt" or "Amalgamated Concrete"?

g - 'Alice', b - 'Am. Con.', b' - 'Am. Asph.', $t = 5$, $t' = 7$.

Improvement Lemma says she prefers 'Amalgamated Asphalt'.

Day 10: Can Alice have "Amalgamated Asphalt" on her string? Yes.

Alice prefers day 10 job as much as day 7 job. Here, $b = b'$.

Why is lemma true?

Proof Idea: She can always keep the previous job on the string.

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Improvement Lemma

Improvement Lemma: It just gets better for candidates.

If on day t a candidate g has a job b on a string, any job, b' , on g 's string for any day $t' > t$ is at least as good as b .

Proof:

$P(k)$ - "job on g 's string is at least as good as b on day $t+k$ "

$P(0)$ - true. Candidate has b on string.

Assume $P(k)$. Let b' be job **on string** on day $t+k$.

On day $t+k+1$, job b' comes back.

Candidate g can choose b' , or do better with another job, b''

That is, $b' \leq b$ by induction hypothesis.

And b'' is better than b' by algorithm.

\implies Candidate does at least as well as with b .

$P(k) \implies P(k+1)$.

And by principle of induction, lemma holds for every day after t . \square

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Pairing when done.

Lemma: Every job is matched at end.
(Launch Proof poll.)

Proof:

If not, a job b must have been rejected n times.

Every candidate has been proposed to by b , and **Improvement lemma**

\implies each candidate has a job on a string.

and each job is on at most one string.

n candidates and n jobs. Same number of each.

\implies b must be on some candidate's string!

Contradiction. \square

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Pairing is Stable.

Lemma: There is no rogue couple for the pairing formed by traditional marriage algorithm.

Proof:

Assume there is a rogue couple; (b, g^*)



Job b proposes to g^* before proposing to g .

So g^* rejected b (since he moved on)

By improvement lemma, g^* prefers b^* to b .

Contradiction! \square

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Good for jobs? candidates?

Is the Job-Proposes better for jobs? for candidates?

Definition: A pairing is **x-optimal** if x 's partner is its best partner in any **stable** pairing.

Definition: A pairing is **x-pessimal** if x 's partner is its worst partner in any **stable** pairing.

Definition: A pairing is **job optimal** if it is x -optimal for **all** jobs x .

..and so on for job pessimal, candidate optimal, candidate pessimal.

Claim: The optimal partner for a job must be first in its preference list.

True? False? False!

Subtlety here: Best partner in any **stable** pairing.

As well as you can be in a globally stable solution!

Question: Is there a job or candidate optimal pairing?

Is it possible:

b -optimal pairing different from the b' -optimal pairing!

Yes? No?

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Understanding Optimality: by example.

A: 1,2 1: A,B
B: 1,2 2: B,A

Consider pairing: $(A, 1), (B, 2)$.

Stable? Yes.

Optimal for B ?

Notice: only one stable pairing.

So this is the best B can do in a stable pairing.

So optimal for B .

Also optimal for A , 1 and 2. Also pessimal for $A, B, 1$ and 2.

A: 1,2 1: B,A
B: 2,1 2: A,B

Pairing S : $(A, 1), (B, 2)$. Stable? Yes.

Pairing T : $(A, 2), (B, 1)$. Also Stable.

Which is optimal for A ? S Which is optimal for B ? S
Which is optimal for 1? T Which is optimal for 2? T

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Job Propose and Candidate Reject is optimal!

For jobs? For candidates?

Theorem: Job Propose and Reject produces a job-optimal pairing.

Proof:

Assume not: there is a job b does not get optimal candidate, g .

There is a stable pairing S where b and g are paired.

Let t be first day job b gets rejected

by its optimal candidate g who it is paired with
in stable pairing S .

b^* - knocks b off of g 's string on day $t \implies g$ prefers b^* to b

By choice of t , b^* likes g at least as much as optimal candidate.

$\implies b^*$ prefers g to its partner g^* in S .

Rogue couple for S .

So S is not a stable pairing. Contradiction. \square

Notes: S - stable. $(b^*, g^*) \in S$. But (b^*, g) is rogue couple!

Used Well-Ordering principle...Induction.

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How about for candidates?

Theorem: Job Propose and Reject produces candidate-pessimal pairing.

T - pairing produced by JPR.

S - worse stable pairing for candidate g .

In T , (g, b) is pair.

In S , (g, b^*) is pair.

g prefers b to b^* .

T is job optimal, so b prefers g to its partner in S .

(g, b) is Rogue couple for S

S is not stable.

Contradiction. \square

Notes: Not really induction.

Structural statement: Job optimality \implies Candidate pessimality.

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Quick Questions.

How does one make it better for candidates?

Propose and Reject - stable matching algorithm. One side proposes.

Jobs Propose \implies job optimal.

Candidates propose. \implies optimal for candidates.

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Residency Matching..

The method was used to match residents to hospitals.

Hospital optimal...

..until 1990's...Resident optimal.

Another variation: couples.

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Takeaways.

Analysis of cool algorithm with interesting goal: stability.

"Economic": different utilities.

Definition of optimality: best utility in stable world.

Action gives better results for individuals but gives instability.

Induction over steps of algorithm.

Proofs carefully use definition:

Optimality proof:

contradiction of the existence of a better pairing.

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